(FW)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:		Group Art Unit: 2828		
Harry Rieger) Examiner: Unassigned		
Serial No.:	10/816,728)		
Filed:	April 2, 2004			
For:	Diode-Pumped Solid State Laser System Utilizing High Power Diode Bars)))		
P.O. Box 145	er for Patents			
	INFORMATION DISCLO	SURE STATEMENT		
Sir:				
In acc	cordance with the duty of disclosure un	der 37 C.F.R. § 1.56, Applicant(s) hereby		
notify the U.	S. Patent and Trademark Office of the o	document(s) which is/are listed on the		
attached PTC	D/SB/08A and PTO/SB/08B forms. On	e copy of the listed document(s) is submitted		
herewith.				
X	(1) This IDS does NOT require a fee of	or 37 C.F.R. § 1.97(e) statement because it is		
being filed (c	check all that apply):			
	(a) Within three months of the filing of	late of a national application other than a		
continued pro	osecution application under § 1.53(d);	OR		
	(b) Within three months of the date of	entry of the national stage as set forth in §		
1 401 in an i	nternational application: OR			

X (c) Before the mailing of a first Office action on the merits; OR

(d) Before the mailing of a first Office action after the filing of a request for
continued examination under § 1.114.
(2) This information disclosure statement is filed before the mailing date of a final
action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes
prosecution in the application, and (check only one):
(a) Is accompanied by the fee set forth in § 1.17(p); OR
(b) Each item of information contained in the information disclosure statement was
first cited in any communication from a foreign patent office in a counterpart foreign application
not more than three months prior to the filing of the information disclosure statement; OR
(c) No item of information contained in the information disclosure statement was
cited in a communication from a foreign patent office in a counterpart foreign application, and to
the knowledge of the person signing the certification after making reasonable inquiry, no item of
information contained in the information disclosure statement was known to any individual
designated in § 1.56(c) more than three months prior to the filing of the information disclosure
statement.
(3) This Information disclosure statement is filed on or before payment of the issue
fee and is accompanied by the fee set forth in § 1.17(p); AND (check only one):
(a) Each item of information contained in the information disclosure statement was
first cited in any communication from a foreign patent office in a counterpart foreign application
not more than three months prior to the filing of the information disclosure statement; OR
(b) No item of information contained in the information disclosure statement was
cited in a communication from a foreign patent office in a counterpart foreign application, and to
the knowledge of the person signing the certification after making reasonable inquiry, no item of
DALLIB1, 4949101

information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant(s) does/do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Please charge any necessary fee or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 13-0480 (please reference 67411811.1106).

Respectfully submitted,

William D. McSpadden

Reg. No. 44,234

Attorney for Applicant

Date:

BAKER & McKENZIE LLP

1/13/2005

2300 Trammell Crow Center 2001 Ross Avenue Dallas, TX 75201 (214) 978-3040 (direct)

(214) 978-3099 (facsimile)

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

titute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/816,728			
Filing Date	April 2, 2004			
First Named Inventor	Rieger			
Art Unit	2828			
Examiner Name	Not yet assigned			
Attorney Docket Number	67411811 1106			

	-		U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	 -	US- 2002/004 0200	02 14 2002	Pigger et al	
		US- 2002/0018288	02-14-2002	Rieger et al.	
		^{US-} 2001/0022296	09-20-2001	Mosavi et al.	
		^{US-} 5,978,407	11-02-1999	Chang et al.	
		^{US-} 5,640,408	06-17-1997	Jani et al.	
		US-			
,		US-			
		US-			
		US-			
		US-			
		US-			
		US-	-		
		US-			

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
IIIIIIII		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY			Τ°
	_					

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0651-0031

Supple !	for form 1449/PTO			Complete if Known		
Figure 1449/PTO				Application Number	10/816,728	
INF	ORMATION	I DIS	CLOSURE	Filing Date	April 2, 2004	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Rieger et al.	
				Art Unit	2828	
(Use as many sheets as necessary)			icessary)	Examiner Name	Not yet assigned	
Sheet	2	of	2	Attorney Docket Number	67411811.1106	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
		PCT International Search Report (PCT/US04/10322)			
<u> </u>					

Examiner	,	Date	
Signature		Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/816,728 **TRANSMITTAL** Filing Date April 2, 2004 **FORM** First Named Inventor Rieger 2828 (to be used for all correspondence after initial filing) **Examiner Name** Unassigned Attorney Docket Number 67411811.1106 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund Express Abandonment Request 1) References 2) Return Postcard CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm William D. McSpadden, Reg. No. 44,234 Baker & McKenzie LLP Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name William D. McSpadden Date Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.